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PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Redmond, et al.

Serial No.: 09/540,657

Filed: March 31, 2000

Atty. File No.: 4154-9-CIP

For: LOW PROFILE OPTICAL HEAD

Assistant Commissioner For Patents Box Missing Parts Washington, D.C. 20231

Dear Sir:

AUG 0 7 2000

Group Art Unit: 2753

Examiner:

SUBMISSION OF MISSING

"EXPRESS MAIL" MAILING LABEL NUMBER: EL617198224US DATE OF DEPOSIT: August 3, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Maisie C. Livengood

SIGNATURE: Manual

In response to the Notice to File Missing Parts dated June 5, 2000, in connection with the above-identified application, enclosed herewith please find a Declaration, Power of Attorney, Small Entity Declaration, and Certificate Under 27 CFR 3.73(b). Please note that the Assignment documents have been submitted to the Assignment Branch under separate cover on this same date.

Also enclosed please find a check for \$608 which includes payment of the filing fee in the amount of \$ 345 and \$198 for 22 claims over 20 reflecting small entity status, and the amount of \$65.00 payment of the surcharge. Please credit any overpayment or debit any underpayment to Deposit Account No. 19-1970. A copy of the Notice to File Missing Parts is also enclosed.

Please address all future correspondence to the undersigned attorney at the address below.

Respectfully submitted,

SHERIDAN ROSS P.C.

Richard L. Hugh

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Date: Avr. 3 2000

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FORMALITIES LETTER

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/540,657

03/31/2000

Ian Redmond

4154-9-CIP

Richard L Hughes Sheridan Ross PC 1560 Broadway **Suite 1200** Denver, CO 80202-5141



Date Mailed: 06/05/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$396.
 - \$396 for 22 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1216.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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